

**SPEA in SPEYER**  
**(May 8 – June 12, 2010)**

**"Public Policy and Administration in  
Germany, the European Union, and the  
United States"**

**Program Description**

This proposal describes a SPEA ABROAD summer program in May/June 2010 at the German University of Administrative Sciences Speyer (DHV Speyer) in Germany. The DHV Speyer has been a member of the Transatlantic Policy Consortium (TPC) since 1998 when it was initiated by SPEA. The DHV Speyer plays a unique role among German universities. Founded in 1947 by the French Government on the model of the École Nationale d'Administration (ENA), the DHV has become Germany's only university solely dedicated to the administrative sciences in the areas of post-graduate studies (Master-, doctoral programs), research, and continuing education. Speyer is located in the State of Rhineland-Palatinate on the Rhine River near Heidelberg.

The program will permit SPEA to offer four to six credits of coursework on a number of topics which provide students with a comparative perspective on public policy and public administration in the European Union, the United States, and Germany.

The program will run for slightly longer than four weeks, from May 8 to June 12, 2010. It consists of five seminars at the graduate level which are fully integrated into the regular curriculum of the university and, therefore, open to DHV students. Four seminars have one session per week lasting three teaching hours (1 teaching hour = 45 minutes). This makes 12 hrs per seminar during the four week period or 48 hrs for four seminars. The fifth seminar has two sessions of 3 hrs each per week or 24 hrs for the entire period. In sum, the DHV Speyer offers 72 hrs of coursework during the summer program. During the time American students are in residence, classes will be conducted in English.

The size of classes will depend on the number of US students and about 10 DHV students per seminar. A general introduction to the program including assignments of topics and other issues will be given on Monday morning, May 10, 2010 or earlier depending on arrival of students.

The program is available for graduate students from SPEA (Bloomington, Indianapolis), the Kelley School, graduate students in West European Studies, from other cooperation partners, and the DHV Speyer.

## Requirements for US Students

Course credits will be compiled based on regular DHV Speyer courses and grading standards and then converted to SPEA graduate course credit: V550 (4 - 6 cr.) - "Topics in Public Affairs: Public Policy and Administration in the European Union, Germany, and the United States." In order to earn six credits students must write papers (10-15 pages per paper) in two seminars of their choice and make oral presentations (15-20 min per topic). The papers can be submitted post-course upon return to the US. In each of the three seminars where students have chosen not to write a paper they must make short presentations (5-10 min) of assigned readings. Regular attendance of all seminars is required.

Four credits will be given for the successful completion of a seminar paper, an oral presentation of the topic, and the oral presentations of reading assignments in all seminars where papers are not written. The grade for awarding four credits will be determined by the paper (50 %), the oral presentation of the seminar topic (30 %), and the mean value of the grades for the oral presentations of reading assignments (20 %) in the other seminars. The grading scale ranges from "very good" (16-18 points), "good" (13-15 points), "fully satisfactory" (10-12 points); "satisfactory" (7-9 points), "sufficient" (4-6 points), "deficient" (1-3 points) to "insufficient" (0 points).

## Requirements for DHV students

Students who are enrolled at the DHV are welcome to participate in one or more seminars of the program as part of their regular curriculum. Students have to meet the normal seminar requirements of the DHV: Submission of a paper and an oral presentation both in English.

The DHV also offers a *certificate of participation* to those DHV students who participate in all five seminars. In this case, a paper and an oral presentation have to be given in a seminar of the student's choice. Furthermore, English presentations of assigned readings are required in the remaining seminars where papers are not written. The deadline for the submission of papers will be determined by agreement with the instructors.

## Program topics and faculty

The program topics cover core issues of public policy and public administration in the European Union and Germany. These are the seminar topics:

- A comparative perspective on public administration in the European Union, Germany, and the US (Bohne/Fernandez)
- Comparative history of public administration in Europe (Fisch)
- European economic integration (Knorr)
- Europeanization of policy making in EU member states (Kropp assisted by Stuchlik)
- A comparative perspective on public and private health systems in Europe (Pitschas).

**Professor Eberhard Bohne** holds the Chair of Public Administration, Policy and Law for Environmental Protection and Energy. He is an expert in environmental and energy regulations, and has been head of the General Law Division of the German Federal Ministry of Environment, Nature Conservation, and Nuclear Safety before joining the DHV Speyer in 1996.

**Professor Sergio Fernandez** is Assistant Professor at the School of Public and Environmental Affairs, Indiana University. He is an expert on public management.

**Professor Stefan Fisch** holds the Chair of Modern and Contemporary History, and will assume the rectorate of the DHV Speyer in the fall of 2009. He is an expert on French-German relations.

**Professor Andreas Knorr** holds the Chair of Economics (National and International Economic Policy). He is an expert on European economic integration.

**Professor Sabine Kropp** holds the Chair of Political Science. She is an expert on federalism and political systems in Eastern Europe.

**Professor Rainer Pitschas** holds the Chair of Public Administration, Development Policy, and Public Law. He is an expert on comparative social policy and law.

**Andrej Stuchlik**, Dipl.-Pol., is assistant lecturer at the Chair of Political Science.

### **Excursions**

Four excursions are planned to:

- the Federal Constitutional Court in Karlsruhe,
- the BASF in Ludwigshafen, the world's largest chemical site,
- the European Parliament in Strasbourg, France,
- the European Court for Human Rights, Strasbourg,
- Heidelberg, a picturesque city with one of Germany's most famous universities.

Travel will be by bus or train.

### **Room and board**

The living accommodations and classrooms will be on the campus of the DHV Speyer. Students have access to the library and the computer rooms. Their sleeping arrangements will be a room in the university dormitory at a rate of 31 Euro per night, where university security policies apply. Meals are available at a cafeteria on campus.

**Orientation meeting**

Professor Fernandez will conduct an orientation meeting and seminar during spring semester 2010 to prepare students accepted into the program for the seminars and activities in which they will be involved.

**Support services**

Professor Fernandez will serve as the SPEA resident director on site. In addition, administrative staff of DHV Speyer will assist with student logistics, academic concerns, and medical and emergency issues. Prior to departure, SPEA will interview all applicants and solicit information about relevant health issues; in addition, a list of contact numbers will be maintained in Bloomington.

**Program Budget**

A program budget will be separately attached, indicating program costs and student fees.

## Syllabus (May 10 - June 11, 2010)

### I. A comparative perspective on public administration in the European Union, Germany, and the US (Bohne/ Fernandez)

#### Overview

The purpose of the seminar is to compare EU, German and US approaches to common problems of public administration, to present key characteristics of these administrative systems, and to hereby offer a better understanding of one's own system. After a discussion in session 1 of basic theoretical concepts underlying public administration in Europe and the US, there will be seven sessions focusing on administrative approaches in the following problem areas:

- information and the public,
- intergovernmental coordination in multi-level systems,
- local governments as entrepreneurs ,
- public personnel administration,
- budgetary controls,
- state paradigms,
- administrative reforms.

The seminar will be co-taught by Professors Bohne and Fernandez.

[**Note:** A complete bibliography is under development.]

Each session will start with a lecture of 45 min giving a general introduction to the topic. This will be followed by the first student presentation of 15-20 min with a discussion of 25 min. The session ends with the second student's presentation and discussion.

#### Topics

Session 1: Origins of public administration as a discipline: Are Weber's bureaucratic model and Wilson's distinction between politics and administration still relevant today?

Objectives: Weber's focus on legal rationality and Wilson's emphasis on administrative efficiency are generally considered to be starting points of legalistic and managerial approaches to public administration. Describe the historical context and purposes of the two concepts and discuss their relevance for modern public administration.

1. Characteristics, purpose and today's relevance of Wilson's distinction between politics and administration

*Required reading:* Wilson, 1887.

*Recommended reading:* Kaufman, 1956; Raadschelders, 2000; Stillman 1999a, 1999b; Lynn 2001; Rosenbloom 2008; [The 2008 January/February issue of Public Administration Review is devoted to the topic.]

2. Characteristics, purpose and today's relevance of Weber's bureaucratic model

*Required reading:* Fry 1989, pp. 15-46

*Recommended reading:* Weber 1978, vol. 1, chapter III ii (pp. 217-226), vol. 2, chapter XI (pp. 956-1005) ; Scott/Davis 2007, pp. 46-53 ; Lynn 2008a, Rosenbloom et al. 2009, pp. 141-146, Milakovich/Gordon 2009, pp. 158-161)

### Session 2: The citizen's right to know in the US, EU and Germany

Objectives: The US concept of the citizen's right to know contradicts Europe's traditional emphasis on government efficiency. Describe the concept and its practical relevance in the US, and discuss the objectives and problems of transferring the concept to the EU and Germany.

3. The US Freedom of Information Act: scope, implementation problems, and future development

*Required reading:* Feinberg, 1986.

*Recommended reading:* Piotrowski and Rosenbloom, 2002; Meier and Bohte, 2007, pp. 135-178; Milakovich/Gordon 2009, pp. 65-69.

4. The European Commission's strategic use of information rights and countervailing traditions in Germany

*Required reading:* Kranenborg/Voermans 2005, pp. 9-27

*Recommended reading:* European Commission, COM (2000) 400 final, COM (2000) 402 final, (No.1, 6), COM (2001) 428 final, p. 11f; Ackerman/Sandoval-Ballesteros 2006; Banisair 2006; pp. 6-32, 77f.

### Session 3: Intergovernmental coordination in multi-level systems

Objectives: Describe the formal and informal structures and mechanisms of intergovernmental coordination in the EU and the US, and discuss their effectiveness, efficiency, and legitimacy.

5. The community method and the open method of coordination in the EU

*Required reading:* Borrás/Jacobsson 2004, pp. 185-208

*Recommended reading:* European Commission, COM (2001) 428 final; p. 21 f; Devuyt 2006, pp. 11-32; Borrás/Greve 2004

6. Structure and mechanisms of federal-state coordination in the US

*Required reading:* Rosenbloom, Kravchuk and Clerkin 2009a.

*Recommended reading:* Henry 2010; Milakovich/Gordon 2009, pp. 107-154.

Session 4: Local governments as entrepreneurs in Germany and the US

Objectives: Describe, compare and evaluate how local governments run or own enterprises and compete with private companies in providing goods and services on the market.

7. Municipalities as bankers, energy suppliers and providers of other private services in Germany: purpose, problems, and limits

*Required reading:* Wollmann 2002, pp. 1-20

*Recommended reading:* Toninelli 2000, Wengenroth 2000, von Loesch 2001, Steckert 2002, Held 2002

8. Entrepreneurial activities of local governments in the US

*Required reading:* Warner and Hefetz 2009

*Recommended reading:* Fernandez, Ryu and Brudney 2008; Brown and Potoski 2003; Hefetz and Warner 2004; Greene 2002.

Session 5: Political approaches to public personnel administration

Objectives: Describe, compare and evaluate how conflicts between professional integrity and political loyalty are resolved in the German and US governments.

9. Tensions between political appointees and careerists in the US federal government

*Required reading:* Kettl and Fesler, 2009a, 2009b.

*Recommended reading:* Hecl 1977; Peters 2004; Durant 1995; Cohen 1998.

10. The “political civil servant” in Germany – a contradiction in itself?

*Required reading:* Goetz 2000, pp. 61-91

*Recommended reading:* Mayntz 1984, Goetz 1999, Kroppenstedt/Menz 2001, Schröter 2004

### Session 6: Budgetary controls of the federal bureaucracy in the US and Germany

Objectives: Budgetary controls are the oldest and politically most important instruments for controlling the bureaucracy in democratic constitutional systems. Describe and compare instruments of budgetary controls in a presidential and a parliamentary system and assess their effectiveness.

#### 11. The politics of the budgetary process in Germany

*Required reading:* Lienert, Jan/Jung, Moo-Kyung 2004 pp. 219-254

*Recommended reading:* Mayntz/Scharpf 1975, pp. 121-127, Federal Ministry of Finance 2008, pp. 16-20; Rodden 2003, pp. 161-186

#### 12. The politics of the budgetary process in the US

*Required reading:* Rosenbloom, Kravchuk and Clerkin 2009b.

*Recommended reading :* Wildavsky and Caiden 2004.

### Session 7: Competing state paradigms and consequences for public administration

Objectives: Structures and processes of public administration reflect to some extent prevailing national visions of the state and its role toward the private sector. Whether the state paradigm is applicable to the European Union is a contentious issue. Describe and compare US and German state paradigms, and discuss their implications for national public administrations. Examine the applicability of the state paradigm to the European Union.

#### 13. A comparative perspective on state paradigms in the US and Europe and their practical implications for national public administrations

*Required reading:* Stillman 1999b.

Recommended reading: Giddens 2003, Schuppert 2003

#### 14. Is the European Union a state, or what else distinguishes it from other International Organizations?

*Required reading:* Wallace 2005, pp. 483-503

*Recommended reading:* European Commission, COM (2002) 247 final, Pollack et al. 2010, pp. 481-501

### Session 8: Administrative reforms

Objectives: In the US, New Public Management (NPM) has been the prevailing administrative reform movement for the last two decades. In the EU, enlargement has triggered a debate on the reform of EU institutions, and led to the Lisbon

Treaty. Describe, compare and evaluate the reform concepts and measures.

15. The EU institutions after enlargement: need for reform or business as usual?

*Required reading:* Best 2008, pp. 222-242

*Recommended reading:* Griller/Ziller 2008, European Commission 2009, Sedelmeier 2010, pp. 401-429

16. New public management in the US – an appraisal

*Required reading:* Breul and Kamensky 2008.

*Recommended reading:* Moynihan 2006; Thompson 2000; Thompson 2002; Kickert 1997, Spicer 2007, Gregory 2007, Eickenberry/ Pautz 2008, Lynn 2008b.

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## II. Comparative history of public administration in Europe (Fisch)

### Overview

The purpose of the seminar is to show some selected cases of different historical experiences which Europeans had with establishing national public administrations.

The seminar will be taught by Professor Fisch. It will consist of four sessions with three teaching hours each. Each session will start with a short lecture introducing the special topic. This will be followed by two student case study presentations of about 15-20 min with a discussion of 20 min. A comparative discussion with conclusions will follow at the end of the session.

### Topics

#### Session 1: Monarchical and proto-democratic traditions of European public administrations

Objectives: Knowledge and understanding of the very long, monarchical or proto-democratic tradition of European public administration as its common ground.

1. The main stream of development of public administration under monarchical systems
2. Development of public administration under early 'republican' systems (Italian and German city states, Switzerland, Netherlands)

Reading assignments: TBA

#### Session 2: French revolution as trigger for reforms of German and European public administrations

Objectives: Understanding the importance of the French Revolution 1789 not only as a revolution in French traditions, but also as a trigger for reform 'from above' in other (here: German) traditions of public administration.

3. French Revolution, Napoleon and French public administration
4. Reforms of German public administrations in the 19th century

Reading assignments: TBA

Session 3: Beginning of social security systems in Europe

Objectives: Understanding the European tradition of covering some elementary risks of life (accidents, illness, old age) by compulsory, state-organized institutions, and how they worked as a part of public administration.

5. The “Social Question” in Germany and Bismarck’s compulsory social insurance schemes
6. From English “Poor Law” to Lloyd George’s model of a national social insurance System

Reading assignments: TBA

Session 4: Impact of national-socialism and communism on German public administration

Objectives: Understanding the consequences of the two dictatorial systems in Germany for public administration: National Socialism and Communism

7. Re-arrangement of the German public administration tradition under National-Socialist Rule
8. Dissolution of the distinction between ‘state’ and ‘party’ in socialist East Germany

Reading assignments: TBA

**Bibliography** [Under development]:

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- Hennock, Peter*. Public Provision for Old Age. Britain and Germany 1880 - 1914, in: Archiv für Sozialgeschichte 30 (1990), S. 81-103. [Speyer Library: C XVI 37, vol. 30]
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- Wunder, Bernd*: Examination Principle and Nobility's Privileges. The Failure of an Elite Change in German Administration, 1806-1914, in: Heyen, Erk Volkmar (Hg.): Jahrbuch für Europäische Verwaltungsgeschichte / Yearbook of European Administrative History, vol. 17, Baden-Baden 2005, p. 51-83. [Speyer Library: A XIII 901-17]

### III. European economic integration (Knorr)

#### Overview

The course of study is designed to familiarize students with the key theoretical and political concepts of European economic integration, its successes, its failures, and the challenges ahead. In order to achieve its objectives, the course is divided into three parts. Part A, conceived as a brief introduction to the field, kicks off with a short history of the integration process, which is followed by an overview of the economic role of the EU in the world, its major institutions and its principal competences in the field of economic policy. It concludes with a synopsis of the economic theory of regional integration. The focus of Part B will be on the principal areas of European economic integration in practice: the EU's budget, the Single Market including the EU's competition policy and tax harmonization efforts, the Common Agricultural Policy, the Common Transport Policy, the Common Commercial Policy, and the European Monetary Union (EMU). Finally, the future of the integration process, especially the case for institutional reform is discussed in Part C.

The seminar will be taught by Professor Knorr. Each session is composed of a lecture of about 15 min giving a general introduction to the topic, followed by two students' presentations of 30 min with a discussion after each presentation of 30 min.

#### Topics

Session 1: European integration from the Treaty of Rome until today and the economics of regional economic integration

Objectives: To understand the historical background of European (economic) integration, its main challenges over the last 50 years and the main actors and institutions in the EU as well as to understand the alternative methods and different forms of regional economic integration, to get familiar with the different stages of integration processes and the theory of customs unions.

1. History of European economic integration and the EU today institutions and competences)
2. Theory of regional economic integration

Reading assignments: El-Agraa, A. (2007), Hitiris, T. (2003), pp. 1-30; European Central Bank (2005)

## Session 2: The Single Market and Monetary Integration

Objectives: To understand the basic concepts of the Single Market, its key elements like the four basic freedoms, the subsidiarity principle and the pros and cons of tax harmonization as well as the EU's supranational competition policy. The introduction of the EURO, the main actors in the area of monetary integration and historical milestones like the "Werner-plan" and "the Stability and Growth Pact" as well as the pros and cons of monetary integration in the EU are other focus areas.

3. Definition and key concepts of the Single Market and the EU's competition policy
4. Different path to monetary integration and the road to the EMU (incl. the pros and cons of monetary integration)

Reading assignments: Barnard (2007), Schuknecht (1995), De Grauwe (1994); Hösli (2005)

## Session 3: The EU's budget and Common Agricultural Policy

Objectives: To understand the structure of the EU's budget compared to typical national budgets as well as the causes and consequences of budgetary crises. Furthermore, the objectives and instruments of the EU's Common Agricultural Policy and the need for fundamental reform are analysed.

5. The EU's budget (incl. budgetary crises and reforms)
6. Common Agricultural Policy - objectives, policy instruments and the need for fundamental reform

Reading assignments: Pelkmans (2006), pp. 411-424, European Union (2009) [http://ec.europa.eu/budget/budget\\_detail/index\\_en.htm](http://ec.europa.eu/budget/budget_detail/index_en.htm), Hitiris (2003), pp. 155-185

## Session 4: Common Transport Policy and Common Commercial Policy

Objectives: The key objectives and policy instruments of the Common Transport Policy (CTP) and of the Common Commercial Policy (CCP) are examined.

7. Common Transport Policy (objectives and policy instruments) and Trans-European Networks
8. EU vs. WTO

Reading assignments: Hitiris (2003), pp. 187-209, pp. 266-285

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### **Basic Reading:**

*Barnard, Catherine* (2007): *The Substantive Law of the EU: The Four Freedoms*, 2<sup>nd</sup> ed., Oxford and New York.

(<http://books.google.de/books?id=0zSZJs25524C&pg=RA1-PA66&dq=The+Substantive+Law+of+the+EU:+The+Four+Freedo&cd=1#v=onepage&q=&f=false>)

*De Grauwe, P.* (1994): *The Economics of Monetary Integration*, 2<sup>nd</sup> edition, Oxford.

*El-Agraa, A.* (2007): *The European Union. Economics & Politics*, 8<sup>th</sup> ed., Cambridge et.al.

*European Central Bank* (2005): *Regional Economic Integration in a Global Framework*, Frankfurt

<<http://www.ecb.int/pub/pdf/other/regionaleconintegrationglobalframework2005en.pdf>>.

*European Union* (2009): *The EU Budget in Detail*, Brussels

<[http://ec.europa.eu/budget/budget\\_detail/index\\_en.htm](http://ec.europa.eu/budget/budget_detail/index_en.htm)>.

*Hitiris, T.* (2003): *European Union Economics*, Harlow, 5<sup>th</sup> edition, London et.al.

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*Hösli, M.* (2005): *The EURO. A Concise Introduction to European Monetary Integration*. London.

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([http://books.google.de/books?id=Ls3AeWuFeesC&printsec=frontcover&dq=\):+European+Integration.+Methods+and+Economic+Analysis&cd=1#v=onepage&q=&f=false](http://books.google.de/books?id=Ls3AeWuFeesC&printsec=frontcover&dq=):+European+Integration.+Methods+and+Economic+Analysis&cd=1#v=onepage&q=&f=false))

*Schuknecht, L.* (1995): *Trade Protection in the European Community*, 2<sup>nd</sup> ed., Luxembourg.

### **Further Reading:**

*Baldwin, R./Wyplosz, C.* (2004): *The Economics of European Integration*, London et.al.

*Bhagwati, J.* (1988): *Protectionism*, Cambridge (Mass.).

*Corden, W.M.* (1972): 'Economies of Scale and Customs Union Theory', *Journal of Political Economy*, 80, 465-475.

*Curzon Price, V. (ed.)* (1999): *The Enlargement of the European Union*, 2<sup>nd</sup> edition, London.

*De la Dehesa, G.* (2006): *Europe at the Crossroads. Will the EU Ever Be Able to Compete with the United States as an Economic Power?*, New York.

*Eichengreen, B.* (2007): *The European Economy Since 1945. Coordinated Capitalism and Beyond*, Princeton.

*Gillingham, J.* (2003): *European Integration 1950-2003. Superstate or New Market Economy?*, Cambridge et.al.

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*McDonald, F./Deaerden, S.* (2005): *European Economic Integration*, 4<sup>th</sup> ed., Harlow, London et.al.

*Molle, W.* (2001): *The Economics of European Integration. Theory, Practice, Policy*, 4<sup>th</sup> edition, Aldershot et.al.

*Moussis, N. et.al.* (1999): *Handbook of European Union: Institutions and Policies*, 4<sup>th</sup> edition, Rixensart

*Neil, L.* (2007): *The Economics of Europe and the European Union*, Cambridge et.al.

*Reid, T.R.* (2004): *The United States of Europe. The New Superpower and the End of American Supremacy*, New York.

*Siebert, H.* (1992): *The Completion of the Internal Market*, Tübingen.

*Swann, D.* (2000): *The Economics of Europe: From Common Market to European Union*, 9<sup>th</sup> edition, London.

### **Selected Journals:**

- Current Politics and Economics of Europe
- Economic Policy
- European Economic Review
- Journal of Common Market Studies
- Journal of International Economics
- Journal of World Trade
- World Competition
- World Economy

### **WWW resources:**

<http://europa.eu.int> (Homepage of the EU)

<http://www.ecb.int> (ECB=European Central Bank)

## IV. Europeanization of policy making in EU member states (Kropp)

### Overview

What happens when Europe “hits home”? During the last decade, EU integration theory and the realm of European Union politics have shifted their focus from how to explain European integration from a national perspective towards the flipside of the process: to what extent EU politics and institutions themselves shape domestic policy-making and trigger domestic change? “Europeanization” entails many facets and may be observed in many ways. Thus, the seminar is divided as follows: The first session will analyse the theoretical background of Europeanization research and unfold the respective assumptions made therein. Sessions 2 and 3 deploy these assumptions to possibly affected polities and politics of the EU member states. Then, with session 4 the focus on member states is narrowed down to former and future candidate countries, as bargaining for membership promises to become especially fruitful for theorizing EU’s relevance for domestic policy-making. During this final and concluding session, the overall scope of Europeanization is discussed.

The seminar will be taught by Professor Kropp and Andrej Stuchlík. Each session is composed of two lectures of about 25 min each, giving a general introduction to the topic, followed by student presentations of 15-20 min and discussions of 20 min.

### Topics

#### Session 1: “Europeanization” – Introduction to a Research Agenda

Objectives: An introduction to the development and the reversal of perspective within integration theory and to the research agenda triggered by Europeanization research. To understand possibilities and pitfalls of different approaches to Europeanization as well as recent refinements in theory building.

1. From bottom-up to top-down – rivalling approaches in integration theory
2. Europeanization – a new research agenda?

Reading assignments: Börzel/Risse 2007, Caporaso 2007, Radaelli 2003

#### Session 2: Impact on Member states’ institutions

Objectives: Europeanization shapes domestic political institutions in many ways and triggers reactions within the domestic arena. With deepening integration being predominantly executive-driven, it is especially national parliaments that seek to rebalance their perceived loss of influence within the EU multi-level system.

### 3. Adaptation of state structures

#### 4. National parliaments – adapting and bypassing strategies

Reading assignments: Anderson 2002, Goetz/Meyer-Sahling 2008

#### Session 3: Impact on member states' politics

Objectives: The focus of this session is to understand how EU politics adds to national cleavage structures. The first part (I) reveals adaptation strategies of party families and part two (II) seeks to clarify differences in cleavage structures between old and new member states.

#### 5. Domestic Party competition and European integration I

#### 6. Domestic Party competition and European integration II

Reading assignments: Ladrech 2009, Marks et.al. 2006

#### Session 4: Impact on new member states – accession politics

Objectives: To analyse bargaining processes before and after membership in the context of EU's eastward enlargement in 2004. At the end of this session, the scope of Europeanization and further implications for research will be discussed.

#### 7. Europeanization and Conditionality

#### 8. "Post-accession fatigue"? – The politics of compliance

Reading assignments: Schimmelfennig/Sedelmeier 2007, Sedelmeier 2006, Falkner/Hartlapp/Treib 2007

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*Anderson, Jeffrey J.* 2002. Europeanization and the Transformation of the Democratic Polity, 1945–2000. In: *Journal of Common Market Studies*, vol. 40, pp. 793-822.

*Börzel, Tanja A./Risse, Thomas.* 2007. Europeanization: The Domestic Impact of European Union Politics, in: *Jørgensen, Knud E./Pollack, Mark/Rosamond, Ben* (eds.): *The SAGE Handbook of European Union Politics*. London: Sage, pp. 483-504.

*Caporaso, James A.* 2007. Three Worlds of Regional Integration Theory. In: *Graziano, Paolo/Vink, Maarten P.*, (eds.) *Europeanization: new research agendas*. Palgrave, Basingstoke, UK, pp. 23-34.

*Falkner, Gerda/Hartlapp, Miriam/Treib, Oliver.* 2007. Worlds of Compliance: Why leading approaches to European Union implementation are only 'sometimes-true theories'. In: *European Journal of Political Research*, vol. 46, pp. 395-416.

*Goetz, Klaus H./Meyer-Sahling, Jan-Hinrik.* 2008. The Europeanisation of national political systems: Parliaments and executives. *Living Rev. Euro. Gov.*, Vol. 3, No. 2. [Online Article]: cited [`<date>`], `<http://www.livingreviews.org/lreg-2008-2>`

*Ladrech, Robert.* 2009. Europeanization and political parties, *Living Reviews in European Governance*, Vol. 4, No. 1. [Online Article]: (cited [`<date>`]), `<http://www.livingreviews.org/lreg-2009-1>`

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## V. A comparative perspective on public and private health systems in Europe (Pitschas)

### Overview

The purpose of this seminar is to compare the US, the EU, and, in particular, the German public and private health systems. By taking a closer look at these different health systems and policies including their demographic, economic and legal backgrounds the seminar tries to give a better understanding of possible restraints of but also chances for reforms in the field of public and private health systems.

The four sessions will cover:

- overview of the US, EU, and German public and private health systems,
- health care reform plans in the US and in Germany,
- European health care law in the Treaties and in selected member states,
- financing of health care including their demographic and economic challenges, and
- rules of competition on and trade in health care.

The seminar will be taught by Professor Pitschas. Each session is composed of a general lecture introducing the respective subject followed by two to three student presentations with discussions. In addition, a reader with reading assignments will be provided.

### Topics

#### Session 1: Introduction to the US, EU and German public and private health systems

Objectives: Becoming acquainted with each other, getting an overview of the course of the seminar, understanding the main common principles and the main differences of the public and private health systems in the US, the EU, and Germany, and getting a foundation for the following sessions. The session will start with a round of introductions: overview of the EU and German public and private health systems

1. Health care reform plans in the EU
  
2. The fragmentation of the US-American health system – an overview (insurance, facilities and providers in medical care)

Reading assignments: Anderson, G. F./Petrosyan, V./Hussey, P. S. (2002); DiNitto, D. M. (2009); Kronenfeld, J. J. (2009); Michalowski, S. (2004); OECD (2002); Seshamani, M. (2009)

## Session 2: The European health systems from Germany to the UK

Objectives: Taking a closer look at the European health policy and health care systems of some selected member states, their demographic and economic challenges over the past decades, as well as the private and public systems and their institutions.

3. Health care in the European Union and the Treaty on the European Union, and the Treaty establishing the European Community / (Lisbon) Treaty on European Union and the Treaty on the Functioning of the European Union
4. Challenges for health care in Germany: division between public and private insurance systems, institutions, service provision, therapeutic freedom, private practices and hospitals, pharmacies.

Reading assignments: Baggott, R. (2000, Chapters 4, 10); Benstetter, F. (2002); European Court of Justice (2009); Hervey, T. K. (2002); Marmor, T. R./Okma, K. G. H./Latham, S. R (2006); Mossialos, E./McKee, M. et al. (2002, Chapters 1, 2); Pestieau, P. (2006); Pieters, D. (2008); Schlette, S./Blum, K./Busse, R. (eds.) (2008); Sichert, M./Walser, C. (2007); Welshman, J. (2007); Werding, M. (2008); Wolstenholme, E./Monk, D./McKelvie, D./Smith, G. (2008)

## Session 3: Financing and the economy of health care

Objectives: Learning about the respective financing systems for public and private health care including the economic and demographic challenges.

5. Financing and the economy of health care in the US – taxes, contributions, public spending
6. Financing and the economy of health care in Germany and the UK – capital cover, contributions (public and private insurance)

Reading assignments: Feldstein, P. J. (1999, Chapter 16); Folland, S./Goodman, A. C./Stano, M. (2004); Phelps, C. E. (2003; Chapter 2 and Chapter 16); Schlette, S./Blum, K./Busse, R. (eds.) (2008); Werding, M. (2008)

## Session 4: Rules of competition on and trade in health care

Objectives: Getting an overview on the rules of competition of the Treaty establishing the European Community including their application to health insurances in the member states. Understanding the impact of the GATS on trade in health care.

7. Application of the rules of competition of the Treaty establishing the European Community (Articles 81 et seqq. TEC) to health care systems in Europe
8. Health care and the GATS

Reading assignments: European Court of Justice (2004); Jorens, Y. (2002); Karl, B. (2002); Mossialos, E./McKee, M. et al. (2002, Chapter 7); Nickless, J. (2002); Smith, R./Blouin, C./Drager, N./Fidler, D. P. (2008)

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*Benstetter, Franz (2002):* Health Care Economics: The Market for Physician Services, Frankfurt am Main, Chapter 2: pp. 25-39

*DiNitto, Diana M. (2009):* An Overview of American Social Policy, in: Midgley, James/Livermore, Michelle (eds.), The Handbook of Social Policy, Los Angeles et al., pp. 29-37

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*Jorens, Yves (2002):* The Right to Health Care across Borders, in: McKee, Matrin/Mossialos, Elias/Baeten, Rita (eds.), The Impact of EU Law on Health Care Systems, Brussels, pp. 83-122

*Karl, Beatrix (2002):* Competition Law and Health Care Systems, in: McKee, Matrin/Mossialos, Elias/Baeten, Rita (eds.), The Impact of EU Law on Health Care Systems, Brussels, pp. 161-194

*Kronenfeld, Jennie Jacobs (2009):* Social Policy and Health Care, in: Midgley, James/Livermore, Michelle (eds.), The Handbook of Social Policy, Los Angeles et al., pp. 381-400

*Marmor, Theodore R./Okma, Kieke G. H./Latham, Stephen R. (2006):* Values, Institutions and Health Politics – Comparative Perspectives, in: Wendt, Claus/Wolf, Christoph (eds.), Soziologie der Gesundheit, Wiesbaden, pp. 383-405

*Michalowski, Sabine* (2004): Health Care Law, in: Peers, Steve/Ward, Angela (eds.), *The European Union Charter of Fundamental Rights*, Oxford and Portland Oregon, pp. 287-308

*Mina, Andrea/Ramlogan, Ronnie* (2008): Health innovation processes at the public-private interface, in: Windrum, Paul/Koch, Per (eds.), *Innovation in Public Sector Services – Entrepreneurship, Creativity and Management*, Cheltenham, UK / Northampton, MA, USA, pp. 118-138

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*OECD* (2002): *Measuring Up – Improving Health System Performance in OECD Countries*, Paris/France, Chapter 2: pp. 35-54

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### **Case-law**

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